

PRIVACY NOTICE INSURANCE PRODUCERS

As an individual appointed with or seeking appointment with Allianz Life Insurance Company of North America (Allianz) as an insurance producer, or an individual appointed with Allianz as a servicing agent (collectively, "insurance producer"), you have the right to know what categories of personal information Allianz collects about you and the purposes for which such information is collected.

As used in this Privacy Notice, "personal information" means information that identifies, relates to, describes, is reasonably capable of being associated with, or could reasonably be linked, directly or indirectly, to you or your household. Personal information includes, but is not limited to, the categories identified below if such information identifies, relates to, describes, is reasonably capable of being associated with, or could be reasonably linked, directly or indirectly, with you or your household.

If you have any questions regarding this Privacy Notice or collection and use of your personal information by Allianz, please email us at privacy@allianzlife.com. If you are an insurance producer who resides in California, this Privacy Notice is intended to comply with the California Consumer Privacy Act of 2018 and any subsequent amendments (collectively, "CCPA"). Additional details regarding our collection and use of Personal Information in contexts not related to insurance producers are on our website at www.allianzlife.com/privacy in our Online Privacy Policy, HIPAA Privacy Notice, and Privacy Notice.

If you have a disability that prevents or limits your ability to access this Privacy Notice, please contact us at 866.360.0603 or email us at privacy@allianzlife.com. We will work with you to provide this Privacy Notice in an alternate format.

Allianz collects this information on behalf of itself, and, as applicable to your appointment, Allianz Life Insurance Company of New York and Allianz Life Financial Services, LLC.

This Privacy Notice is effective February 12, 2025.

CATEGORIES OF PERSONAL INFORMATION WE COLLECT AND OUR BUSINESS PURPOSE FOR PROCESSING THAT INFORMATION

We collect the following categories of personal information for the purposes described below, as permitted by applicable law. These categories are defined by California law and represent the personal information that we may have collected about California residents, and how it may have been shared, over the past 12 months. Our business purposes for processing your information are provided below the chart. The inclusion of a category in the list below indicates only that we may collect this information for certain individuals for some activities within the scope of the identified business purpose. It does not necessarily mean that we collect all the information listed in a particular category for all individuals appointed or seeking appointment.

CATEGORIES OF PERSONAL INFORMATION COLLECTED

CATEGORY OF PERSONAL INFORMATION	TYPES OF INFORMATION COLLECTED
Personal Identifiers	Real name, alias, date of birth, postal address, telephone number, unique personal identifiers (including your national producer number), online identifier, internet protocol (IP) address, email address, Social Security number, driver's license or state identification card number, passport number, visa information, written signature, insurance policy number, or other similar identifiers.
Demographic Identifiers and Other Information	Demographic information protected under federal law, California law or other state law, including age, sex, gender, sexual orientation, racial or ethnic origin, national origin, citizenship or immigration status, residency or work permit status, marital status, health and disability status or military/veteran status as well as aggregated demographic information such as census data block groups.
Employment Information	Employment history, professional licensures, salary information, professional or employment-related information, including written signatures, and other information collected from a resume or application.
Education	Education history including educational institutions attended, degree(s) and/or certification(s) earned, and other information collected from a resume or application.
Financial Information	Bank account number, credit card or debit card number, or any other financial information.
Internet or other Electronic Network Activity	Online identifiers, internet protocol (IP) address, and information regarding an individual's or an individual's page visits, browsing history or search history, including when browsing anonymously, or an individual's device interaction with an internet website application or advertisement as described in our Online Privacy Policy .
Profile Information	Inferences drawn from any of the information listed above, including creating a profile about you reflecting your preferences, characteristics, psychological trends, predispositions, behavior, attitudes, intelligence, abilities, and aptitudes.
Audio/Visual Information	On-site cameras for security, video, photos, and audio recordings.

PURPOSES FOR WHICH WE MAY COLLECT AND SHARE PERSONAL INFORMATION

- Evaluate a potential insurance producer relationship with you and contact you regarding your request for an insurance producer appointment with us.
- Perform background checks and verify your professional standing and qualifications, including your insurance licenses.
- Technical research, development, and administration of the Allianz Career Website and the Recruiting system.
- To comply with anti-discrimination laws and government reporting obligations to help ensure equal employment opportunities, and for internal reporting purposes.
- To evaluate, determine, and arrange compensation.
- For purposes such as paying commissions, we may collect financial information, including bank account number.
- As needed to support the Insurance Producer relationship, including authorization, acceptance, agreement, etc., to terms of your appointment as an Allianz producer, or for related purposes.
- We may use generative artificial intelligence, predictive models, or machine learning including external data and information sources in compliance with applicable laws and regulations to better understand our producers, improve producer support, provide relevant information, and to prioritize sales calls.
- To review and respond to written requests for customer service where a customer references a relationship with you.
- For quality assurance purposes, we may collect audio recordings through recorded calls and voice mail systems.
- To discuss applications, sales, and process and administer the policies you submit for issue by the company.
- For the clearing and settlement of insurance transactions.
- To assist in servicing existing business and to contact you to discuss Allianz products and services that may be of interest to you.
- To provide relevant marketing such as prospect marketing, direct marketing, and satisfaction surveys to gather feedback.
- To perform data and demographic analysis, and to use data analytics and insights to develop and improve our website, products, and services and to provide you with information that is relevant to you.
- To improve operational processing time, customer and financial professional experiences, or to flag anomalies for compliance purposes.
- To enable required regulatory training.
- To obtain identity and digital footprint identifiers in order to improve security and detect and prevent against malicious, deceptive, fraudulent, or illegal activity.
- For physical access and monitoring access to our locations, we may require a photograph and badge.

In addition to the purposes identified above, Allianz may use and disclose personal information that we collect as necessary or appropriate to:

- Comply with laws and regulations, including (without limitation) applicable tax and insurance laws and regulations.
- Monitor, investigate, and enforce compliance with and potential breaches of Allianz policies and procedures, and legal and regulatory requirements.
- Comply with civil, criminal, judicial, or regulatory inquiries, investigations, subpoenas, or summons.
- Exercise or defend the legal rights of Allianz and its employees, affiliates, customers, contractors, agents, and producers.

SOURCES OF PERSONAL INFORMATION

- Directly from you;
- Recruiters;
- Wholesalers such as Broker Dealers and Field Marketing Organizations;
- Prior employers and professional references;
- Educational institutions;
- Pre-employment screening and background check services;
- Regulatory agencies and credentialing and licensing organizations;
- Research or analytics companies and Discovery Data;
- Market metrics;
- Publicly available sources, such as social media profiles on LinkedIn, X, or Facebook, and aggregate information such as census data block groups;
- Clearing and settlement organizations;
- From a party requesting customer service;
- Indirectly from you or your interaction with our website including page visits, browsing or search history, including when browsing anonymously, as described in our [Online Privacy Policy](#), and your interaction with our technology, security devices and software; and
- Other sources as directed by you.

CATEGORIES OF SENSITIVE PERSONAL INFORMATION COLLECTED

We also collect the following categories of sensitive personal information. We generally use sensitive personal information only for the necessary purposes listed below.

CATEGORY OF SENSITIVE PERSONAL INFORMATION	TYPES OF SENSITIVE PERSONAL INFORMATION COLLECTED
Sensitive Personal Information / Not publicly available	Social Security number, driver's license number, state identification card number, or passport number, written signature, policy number(s), racial or ethnic origin, national origin, citizenship or immigration status, sexual orientation where you choose to disclose, or physical characteristics or description.

PURPOSES FOR WHICH WE COLLECT AND SHARE SENSITIVE PERSONAL INFORMATION

Below are the reasons for which we may collect sensitive personal information about you:

- Perform background checks and verify past employment, educational history, and professional standing and qualifications.
- To comply with anti-discrimination laws and government reporting obligations, to help ensure equal employment opportunities and for internal reporting purposes.
- To prevent, detect, and investigate deceptive, fraudulent, or illegal acts.
- To exercise or defend the legal rights of Allianz and its employees, affiliates, customers, contractors, agents, and producers.
- As required by applicable local and federal laws.

SOURCES OF SENSITIVE PERSONAL INFORMATION

When we receive sensitive personal information, we receive it from the following sources:

- Directly from you;
- From educational institutions;
- From credentialing and licensing organizations;
- From screening and/or background check services; and
- From other sources as directed by you.

We will not collect additional categories of personal information or use the personal information we collected for materially different, unrelated, or incompatible purposes without providing you notice.

Additional details regarding our collection of personal information is on our website at www.allianzlife.com/privacy in our Online Privacy Policy, HIPAA Privacy Notice, and Privacy Notice.

DISCLOSURE OF PERSONAL INFORMATION

We may disclose your personal information to third parties (e.g., service providers) for business purposes. When we disclose personal information for a business purpose, we enter into a contract that describes that purpose and requires the recipient to keep the personal information confidential, and not to use it for any purpose other than performing the contract. We may transfer your information to another country for processing as permitted and in compliance with applicable law. We do not sell your personal information to third parties, and we do not share your information for cross-context behavioral advertising purposes, as defined under California law. We may share your personal information for the business purposes described in this Insurance Producer Privacy Notice with the following parties:

CATEGORY	DESCRIPTION
Corporate Affiliates	We may share your information with our corporate affiliates and with their respective officers, directors, employees, accountants, attorneys, and agents.
Acquisitions and Similar Transactions	If the company is sold or part of its assets are merged with another company, your personal data may be transferred as part of the merger or sale.
Disclosures with Your Consent	We may ask if you would like us to share your information with other unaffiliated third parties not described elsewhere in this Policy. We will only disclose your information in this context with your consent.
Legal Obligations and Rights (Subpoenas, Court Orders, and Warrants); Regulatory Authorities	We may disclose information to comply with the law, including disclosure to relevant industry regulatory bodies, or in response to subpoenas, warrants, court orders, or other legal process.
Service Providers	We may share Personal Information with our third party service providers that need access to information to help us support our relationship with you, provide operational or other support services on our behalf or to prevent, detect, and investigate deceptive, fraudulent, or illegal acts. Service Providers may include vendors providing software, platforms, or tools (including generative AI tools) or provide website tracking technologies such as cookies, tags, or pixels that use anonymous browsing information or Personal Information as described in our Online Privacy Policy .
Professional Advisors (Auditors and Legal Advisors)	We may share your information with our professional advisors, including attorneys, auditors, and accountants, to provide services on our behalf.
De-identified or Aggregated Data	We may disclose aggregated information or de-identified information about our users that does not identify any specific individual.
Other	Where required or permitted by law.

PERSONAL DATA STORAGE AND RETENTION

We and our service providers store personal information on computer systems. We keep records containing personal information in accordance with applicable state and federal regulations or contractual obligations. We aim to retain personal information only for as long as necessary for the purposes it was collected for, including defending or advancing legal claims, and to comply with legal obligations. We may need to keep some personal information for longer than other information to comply with applicable laws and regulations, including litigation.

When determining how long to retain a record, including any personal information it contains, we consider the following criteria:

- The length of time the record is needed to provide the products and services you request and maintain our business relationship.
- The length of time the record is needed to support and improve our operational processes.
- The length of time the record is needed to protect our rights and legal interests.
- The length of time the record must be kept to comply with applicable laws and regulations.

The same personal information about you may be included in more than one record and used for more than one purpose, each of which may be subject to different retention periods based on the factors listed above.

We may delete personal information if we believe it is incomplete, inaccurate, or that our continued storage of it is contrary to our objectives or legal obligations. When we delete data, we remove it from our active servers and databases, but it may remain in our archives when it is not practical or possible to delete it.

To the extent permitted by law, we may retain and use anonymized, de-identified, or aggregated information for performance reporting, benchmarking, and analytic purposes and for product and service improvement.

YOUR PRIVACY RIGHTS

If you are a California resident, California law provides you with specific rights regarding your personal information, subject to certain limitations. This section describes these rights and explains how to exercise those rights if they apply to you.

1. Right to Access Your Data. You have the right to request that we disclose certain information to you about our collection and use of your personal information. Once we receive and confirm your verifiable consumer request, you have the right to receive:

- The categories of personal information we collected about you.
- The categories of sources for the personal information we collected about you.
- Our business or commercial purpose for collecting, selling or sharing that personal information.
- The specific pieces of personal information we collected about you, including by a service provider or contractor.
- The categories of third parties with whom we share that personal information.
- The specific pieces of personal information we have disclosed for a business or commercial purpose, identifying the personal information categories that each category of recipient obtained about you.

Any disclosures we provide will only cover the 12-month period preceding receipt of your request. The response we provide will also explain the reasons we cannot comply with a request, if applicable.

2. Right to Data Portability. You have the right to a “portable” copy of your personal information that you have submitted to us. Generally, this means you have a right to request that we move, copy, or transmit your personal information stored on our servers / IT environment to another service provider’s servers / IT environment.

3. Right to Correction. You have the right to request correction or changes to your personal information if it is inaccurate or out of date.

4. Right to Delete Your Data. You have the right to request that we delete any of your personal information that we collected from you and retained, subject to certain exceptions. Once we receive and confirm your verifiable consumer request, we will delete (and direct our service providers to delete) your personal information from our records, unless an exception applies.

5. Right to Opt Out of Sale or Sharing of Personal Information. We currently do not sell personal information to third parties or share personal information about insurance producers with third parties for cross-context behavioral advertising purposes, and therefore do not offer this option. We may disclose personal information about you to our affiliates and service providers as permitted by law. Please see the “Sharing Personal Information” portion of this policy for more information.

6. Right to Limit Use and Disclosure of Sensitive Personal Information. You have the right to request we limit the use of your sensitive personal information to only purposes necessary to engage with you as an insurance producer. California law defines this sensitive personal information, and you can find a more detailed description of the types of information in the charts included above. We only use your sensitive personal information for necessary purposes such as to engage with you as an insurance producer, to aid in protecting and securing your personal information and our systems, to verify or maintain the quality or safety of our services and systems, or as otherwise permitted under regulations or required by law.

7. Right to Non-Discrimination. We will not discriminate against you for exercising any of your privacy rights.

To exercise your rights, you, or an agent authorized to request information on your behalf, must submit a verifiable consumer request using one of the methods listed below:

- Calling us at 800.328.5600
- Contacting us through the secured website www.allianzlife.com
- Writing to us at:
Allianz Life Insurance Company of North America
Attn: Privacy Office
PO Box 59060
Minneapolis, MN 55459-0060

AUTHORIZED AGENT

If you would like to appoint an authorized agent to make a request on your behalf, you must provide the agent with written, signed permission to submit privacy right requests, on your behalf, or provide a letter from your attorney. The agent or attorney must provide this authorization at the time of the request. We may require you to verify your identity with us directly before we provide any requested information to your authorized agent.

VERIFICATION

Upon receiving your request, we will confirm receipt of your request by sending you an email confirming receipt. For your privacy and security, we may take steps to verify your identity before granting you access to the information. For example, we may collect information from you, including, to the extent applicable, your name, government identification number, date of birth, contact information, your account information, answers to security questions, or other personal identifying information. We will verify your identity and respond to your request by matching the information you provide with information we have previously collected about you or from consumer reports. The information collected for verification purposes will be used solely for that purpose. If you have an account with us, you may be asked to log in to your account when submitting your request.

If we are unable to verify your identity as part of your request, we will not be able to satisfy your request. In some instances, such as a request to delete personal information, we may first separately confirm that you made the request for us to delete your personal information before acting on your request.

CHANGES TO OUR PRIVACY NOTICE

We reserve the right to change this Privacy Notice at any time in our sole discretion. If we make changes, we will post the revised Privacy Notice on our website so that you can see what information we gather, how we might use that information, and in what circumstances we may disclose it. If you continue to engage with us after receiving notice, you accept and agree to the modified Privacy Notice.

CONTACT INFORMATION

If you have any questions or comments about this notice, the ways in which we collect and use your information described here, your choices and rights regarding such use, or wish to exercise your rights under state privacy laws, please contact us by:

- Calling us at 800.328.5600
- Emailing us via privacy@allianzlife.com
- Contacting us through the secured website www.allianzlife.com
- Writing to us at:
Allianz Life Insurance Company of North America
Attn: Privacy Office
PO Box 59060
Minneapolis, MN 55459-0060