

## **PRIVACY NOTICE Insurance Producers**

As an individual appointed with or seeking appointment with Allianz Life Insurance Company of North America (Allianz) as an insurance producer, or an individual appointed with Allianz as a servicing agent (collectively, "insurance producer"), you have the right to know what categories of personal information Allianz collects about you and the purposes for which such information is collected.

As used in this Privacy Notice, "personal information" means information that identifies, relates to, describes, is reasonably capable of being associated with, or could reasonably be linked, directly or indirectly, to you or your household. Personal information includes, but is not limited to, the categories identified below if such information identifies, relates to, describes, is reasonably capable of being associated with, or could be reasonably linked, directly or indirectly, with you or your household.

If you have any questions regarding this Privacy Notice or collection and use of your personal information by Allianz, please email us at [privacy@allianzlife.com](mailto:privacy@allianzlife.com). If you are an insurance producer who resides in California, this Privacy Notice is intended to comply with the California Consumer Protection Act of 2018 ("CCPA") as amended by the California Privacy Rights Act of 2020 ("CPRA"). Additional details regarding our collection of personal information in contexts not related to insurance producers can be found on our website at [www.allianzlife.com/privacy](http://www.allianzlife.com/privacy) in our Online Privacy Policy, HIPAA Privacy Notice, and Privacy Notice. If you have a disability that prevents or limits your ability to access this Privacy Notice, please contact us at 866.360.0603 or email us at [privacy@allianzlife.com](mailto:privacy@allianzlife.com). We will work with you to provide this Privacy Notice in an alternate format.

Allianz collects this information on behalf of itself, and, as applicable to your appointment, Allianz Life Insurance Company of New York and Allianz Life Financial Services, LLC.

This Privacy Notice is effective January 1, 2023.

### **Categories of Personal Information We Collect and Our Business Purpose for Processing that Information**

In each case as permitted by applicable law, we collect the following categories of personal information for the purposes described below. These categories are defined by California law and represent the categories of personal information that we have collected about California residents, and how they have been shared, over the past 12 months. More information about our business purposes for processing your information is provided below the chart. Inclusion of a category in the list below indicates only that we may collect this information about certain individuals for some activities within the scope of the business purpose identified. It does not necessarily mean that we collect all the information listed in a particular category for all individuals appointed, or seeking appointment.

CATEGORIES OF PERSONAL INFORMATION COLLECTED	PURPOSES FOR WHICH WE COLLECT PERSONAL INFORMATION	SOURCES OF PERSONAL INFORMATION
<p>Personal identifiers, such as a real name, alias, postal address, unique personal identifier (including your national producer number), online identifier, internet protocol (IP) address, email address, Social Security number, date of birth, driver's license number, passport number, or other similar identifiers.</p>	<ul style="list-style-type: none"> <li>• Evaluate a potential insurance producer relationship with you.</li> <li>• Perform background checks and verify your professional standing and qualifications, including your insurance licenses.</li> <li>• Evaluate, determine, and arrange compensation.</li> <li>• Contact you regarding your request for your insurance producer appointment and insurance producer relationship with us.</li> <li>• Once you are appointed with us, we may contact you to discuss applications, sales and other opportunities.</li> <li>• To assist in servicing existing business.</li> <li>• Marketing activities such as prospect marketing, direct marketing, and satisfaction surveys to gather feedback.</li> <li>• Data Analytics.</li> <li>• Improvement of Allianz products and services.</li> </ul>	<ul style="list-style-type: none"> <li>• Directly from you;</li> <li>• Recruiters;</li> <li>• Wholesalers such as Broker Dealers and Field Marketing Organizations;</li> <li>• Prior employers and professional references;</li> <li>• Educational institutions;</li> <li>• Pre-employment screening and background check services;</li> <li>• Credentialing and licensing organizations;</li> <li>• Regulatory agencies;</li> <li>• Discovery Data;</li> <li>• Market metrics;</li> <li>• Publicly available sources, such as public social media profiles on LinkedIn, Twitter, or Facebook; and</li> <li>• Other sources as directed by you.</li> </ul>
<p>Information that identifies, relates to, describes, or is capable of being associated with a particular individual, including, but not limited to, your name, signature, Social Security number, physical characteristics or description, address, telephone number, passport number, driver's license or state identification card number, insurance policy number, national producer number, education, employment, employment history, bank account number, credit card number, debit card number, or any other financial information, medical information, or health insurance information.</p>	<ul style="list-style-type: none"> <li>• Evaluate a potential insurance producer relationship with you.</li> <li>• Perform background checks and verify your professional standing and qualifications, including your insurance licenses.</li> <li>• Evaluate, determine, and arrange compensation.</li> <li>• Contact you regarding your request for your insurance producer appointment and insurance producer relationship with us.</li> <li>• Enable required regulatory training.</li> <li>• Once you are appointed with us, we may contact you to discuss applications, sales, and other opportunities.</li> <li>• For the clearing and settlement of insurance transactions.</li> <li>• Financial information, including bank account number, is used for commission payment purposes.</li> <li>• Data Analytics for purposes such as the improvement of operational processing time and customer and financial professional experiences, or to provide relevant marketing, and flag anomalies for compliance purposes.</li> </ul>	<ul style="list-style-type: none"> <li>• Directly from you;</li> <li>• Recruiters;</li> <li>• Prior employers and professional references;</li> <li>• Educational institutions;</li> <li>• Pre-employment screening and background check services;</li> <li>• Credentialing and licensing organizations;</li> <li>• Clearing and settlement organizations;</li> <li>• Publicly available sources, such as public social media profiles on LinkedIn, Twitter, or Facebook; and</li> <li>• Other sources as directed by you.</li> </ul>

CATEGORIES OF PERSONAL INFORMATION COLLECTED	PURPOSES FOR WHICH WE COLLECT PERSONAL INFORMATION	SOURCES OF PERSONAL INFORMATION
Demographic information protected under federal or state law, including familial status, disability, sex, national origin, religion, color, race, sexual orientation, gender identity and gender expression, marital status, veteran status, medical condition, ancestry, source of income, age, or genetic information.	<ul style="list-style-type: none"> <li>As required by applicable local and federal laws.</li> <li>To comply with anti-discrimination laws and government reporting obligations to help ensure equal employment opportunities, and for internal reporting purposes.</li> </ul>	<ul style="list-style-type: none"> <li>Directly from you;</li> <li>Prior employers and professional references;</li> <li>Educational institutions;</li> <li>Pre-employment screening and background check services; and</li> <li>Other sources as directed by you.</li> </ul>
Internet or other electronic network activity information, including online identifiers, and information regarding an individual's or an individual's device interaction with an internet website, application, or advertisement.	<ul style="list-style-type: none"> <li>The technical administration and research and development of the Allianz Career Website and the Recruiting system.</li> <li>Digital identity insights to improve security and to detect and prevent against malicious, deceptive, fraudulent, or illegal activity.</li> </ul>	<ul style="list-style-type: none"> <li>Directly from you and/or your device;</li> <li>Internal technology and security devices and software; and</li> <li>Other sources as directed by you.</li> </ul>
Audio, visual, written, or similar information.	<ul style="list-style-type: none"> <li>Record calls with you or a customer calling about you for quality assurance purposes.</li> <li>Review and respond to written requests for customer service where a customer references a relationship with you.</li> <li>Require a photograph and badge for physical access and monitoring access to our locations.</li> </ul>	<ul style="list-style-type: none"> <li>Directly from you;</li> <li>From a party requesting customer service;</li> <li>Credentialing and licensing organizations;</li> <li>Internal technology and security devices; and</li> <li>Other sources as directed by you.</li> </ul>
Professional or employment-related information.	<ul style="list-style-type: none"> <li>As needed to support the Insurance Producer relationship, to engage with you concerning appointment by Allianz, or for related purposes.</li> </ul>	<ul style="list-style-type: none"> <li>Directly from you;</li> <li>Recruiters</li> <li>Wholesalers such as Broker Dealers and Field Marketing Organizations;</li> <li>Prior employers and professional references;</li> <li>Educational institutions;</li> <li>Pre-employment screening and background check services;</li> <li>Credentialing and licensing organizations; and</li> <li>Other sources as directed by you.</li> </ul>
Written signatures.	<ul style="list-style-type: none"> <li>As needed to indicate authorization, acceptance, agreement, etc., to terms relating to your appointment as an Allianz producer and to process and administer the policies you submit for issue by the company.</li> </ul>	<ul style="list-style-type: none"> <li>Directly from you.</li> </ul>

CATEGORIES OF PERSONAL INFORMATION COLLECTED	PURPOSES FOR WHICH WE COLLECT PERSONAL INFORMATION	SOURCES OF PERSONAL INFORMATION
Inferences drawn from any of the information listed above, including any information referenced above to create a profile about you reflecting your preferences, characteristics, psychological trends, predispositions, behavior, attitudes, intelligence, abilities, and aptitudes.	Allianz uses the information you provide to: <ul style="list-style-type: none"> <li>Understand your business and provide you with information about Allianz products and services that may be of interest to you.</li> <li>Personalize our service and support of your business.</li> <li>Data Analytics</li> </ul>	<ul style="list-style-type: none"> <li>Directly from you;</li> <li>Other categories of data listed above; and</li> <li>Other sources as directed by you.</li> </ul>
<p>In addition to the purposes identified above, Allianz may use and disclose any and all personal information that we collect as necessary or appropriate to:</p> <ul style="list-style-type: none"> <li>Comply with laws and regulations, including (without limitation) applicable tax and insurance laws and regulations.</li> <li>Monitor, investigate, and enforce compliance with and potential breaches of Allianz policies and procedures, and legal and regulatory requirements.</li> <li>Comply with civil, criminal, judicial, or regulatory inquiries, investigations, subpoenas, or summons.</li> <li>Detect, investigate, and help protect against malicious, deceptive, fraudulent, or illegal activity.</li> <li>Exercise or defend the legal rights of Allianz and its employees, affiliates, customers, contractors, agents, and producers.</li> </ul>		

We also collect the below categories of **sensitive personal information** as defined under California law. We generally use sensitive personal information only for the necessary purposes below.

CATEGORIES OF SENSITIVE PERSONAL INFORMATION COLLECTED	PURPOSES FOR WHICH WE COLLECT SENSITIVE PERSONAL INFORMATION	SOURCES OF PERSONAL INFORMATION
Social Security, driver’s license, state identification card, or passport number.	<ul style="list-style-type: none"> <li>Evaluate a potential insurance producer relationship with you.</li> <li>Perform background checks and verify past employment, educational history, and professional standing and qualifications.</li> </ul>	<ul style="list-style-type: none"> <li>Directly from you;</li> <li>Pre-employment screening and background check services; and</li> <li>Other sources as directed by you.</li> </ul>
Race, ethnic origin, or sexual orientation.	<ul style="list-style-type: none"> <li>As required by applicable local and federal laws.</li> <li>To comply with anti-discrimination laws and government reporting obligations, to help ensure equal employment opportunities and for internal reporting purposes.</li> </ul>	<ul style="list-style-type: none"> <li>Directly from you;</li> <li>Educational institutions;</li> <li>Pre-employment screening and background check services;</li> <li>Credentialing and licensing organizations; and</li> <li>Other sources as directed by you.</li> </ul>

Additional details regarding our collection of personal information can be found on our website at [www.allianzlife.com/privacy](http://www.allianzlife.com/privacy) in our Online Privacy Policy, HIPAA Privacy Notice, and Privacy Notice.

We will not collect additional categories of personal information or use the personal information we collected for materially different, unrelated, or incompatible purposes without providing you notice.

## Disclosure of Personal Information

We may share your personal information for the business purposes described in this Privacy Notice with the following parties:

Category	Description
Corporate Affiliates	We may share your information with our corporate affiliates and with their respective officers, directors, employees, accountants, attorneys, and agents.
Acquisitions and Similar Transactions	In connection with a merger or sale of the company and/or parts of its assets, your personal data may be transferred as part of the merger or sale.
Disclosures with Your Consent	We may ask if you would like us to share your information with other unaffiliated third parties who are not described elsewhere in this Policy. We will only disclose your information in this context with your consent.
Legal Obligations and Rights (Subpoenas, Court Orders, and Warrants); Regulatory Authorities	We may disclose information in response to subpoenas, warrants, court orders, or other legal process, or to comply with relevant laws, including relevant industry self-regulatory bodies.
Service Providers	We may share personal information with our service providers that need access to information to provide operational or other support services on our behalf.
Professional Advisors (Auditors and Legal Advisors)	We may share your information with our professional advisors, including attorneys and accountants, to provide services on our behalf.
De-identified or Aggregated Data	We may disclose aggregated information or de-identified information about our users that does not identify any specific individual.
Other	Where permitted by law.

We do not sell your personal information or share your personal information for cross-context behavioral advertising, as that term is defined under California law. We have contracts with our service providers to prohibit any sale of your personal information and to provide written assurances regarding the security and privacy protections they have in place to protect your personal information. Your information may only be transferred to another country for processing as permitted and in compliance with applicable law.

## Personal Data Storage and Retention

We store personal information on computer systems operated by us or our service providers. We keep various records that contain personal information in accordance with applicable state and federal regulations, or pursuant to contractual obligations. We aim to keep personal information only for as long as necessary in connection with both our and your legal rights and obligations for the purposes for which it was collected, to defend or advance legal claims, or as otherwise required by applicable laws and regulations. It may be necessary to retain some personal information for longer than we retain other information for legal or regulatory reasons, including litigation.

We consider the following criteria when determining how long a particular record will be retained, including any personal information contained in that record:

- How long the record is needed to provide you with the products and services you request and support our business relationship.
- How long the record is needed to support and enhance our operational processes.
- How long the record is needed to protect our rights and legal interests.
- How long the record must be retained to comply with applicable laws and regulations.

The same personal information about you may be included in more than one record and used for more than one purpose, each of which may be subject to different retention periods based on the factors listed above.

We may delete personal information if we believe it is incomplete, inaccurate, or that our continued storage of it is contrary to our objectives or legal obligations. When we delete data, it will be removed from our active servers and databases, but it may remain in our archives when it is not practical or possible to delete it.

To the extent permitted by law, we may retain and use anonymous, de-identified, or aggregated information for performance reporting, benchmarking, and analytic purposes and for product and service improvement.

## Your Privacy Rights

If you are a California resident, California law provides you with specific rights regarding your personal information, subject to certain limitations. This section describes these rights and explains how to exercise those rights if they apply to you.

- 1. Right to Access Your Data.** You have the right to request that we disclose certain information to you about our collection and use of your personal information. Once we receive and confirm your verifiable consumer request, you have the right to receive:
  - The categories of personal information we collected about you.
  - The categories of sources for the personal information we collected about you.
  - Our business or commercial purpose for collecting, selling or sharing that personal information.
  - The specific pieces of personal information we collected about you, including or by a service provider or contractor.
  - The categories of third parties with whom we share that personal information.
  - The specific pieces of personal information we've disclosed for a business or commercial purpose, identifying the personal information categories that each category of recipient obtained about you.

Any disclosures we provide will only cover the 12-month period preceding the receipt of your request. The response we provide will also explain the reasons we cannot comply with a request, if applicable.

- 2. Right to Data Portability.** You have the right to a "portable" copy of your personal information that you have submitted to us. Generally, this means you have a right to request that we move, copy, or transmit your personal information stored on our servers / IT environment to another service provider's servers / IT environment.
- 3. Right to Correction.** You have the right to request correction or changes of your personal information if it is found to be inaccurate or out of date.
- 4. Right to Delete Your Data.** You have the right to request that we delete any of your personal information that we collected from you and retained, subject to certain exceptions. Once we receive and confirm your verifiable consumer request, we will delete (and direct our service providers to delete) your personal information from our records, unless an exception applies.
- 5. Right to Opt Out of Sale or Sharing of Personal Information.** We currently do not sell personal information to third parties or share personal information about insurance producers with third parties for cross-context behavioral advertising purposes, and therefore do not offer this option. We may disclose personal information about you with to our affiliates and service providers as permitted by law. Please see the "Sharing Personal Information" portion of this policy for more information.
- 6. Right to Limit Use and Disclosure of Sensitive Personal Information.** You have the right to request we limit the use of your sensitive personal information to only purposes necessary to engage with you as an insurance producer. This sensitive personal information is defined in California law, and you will find a more detailed description of what type of information this entails in the charts included above. We do not use your sensitive personal information for purposes other than those necessary to engage with you as an insurance producer, to aid in protecting and securing your personal information and our systems, to verify or maintain the quality or safety of our services and systems, or as otherwise permitted under regulations or required by law.

**To exercise your rights**, you, or an agent authorized to request information on your behalf, must submit a verifiable consumer request using one of the methods listed below:

- Calling us at 800.328.5600
- Contacting us through the secured website [www.allianzlife.com](http://www.allianzlife.com)
- Writing to us at:  
Allianz Life Insurance Company of North America  
Attn: Privacy Office  
PO Box 1344  
Minneapolis, MN 55440-1344

If you would like to appoint an authorized agent to make a request on your behalf, you must provide the agent with written, signed permission to submit privacy right requests, on your behalf, or provide a letter from your attorney. The agent or attorney must provide this authorization at the time of the request. We may require you to verify your identity with us directly before we provide any requested information to your approved agent.

Upon receiving your request, we will confirm receipt of your request by sending you an email/confirming receipt. For your privacy and security, we may take steps to verify your identity before granting you access to the information. For example, we may collect information from you, including, to the extent applicable, your name, government identification number, date of birth, contact information, your account information, answers to security questions, or other personal identifying information. We will match this information against information we have previously collected about you or against information available from consumer reports to verify your identity and to respond to your request. Information collected for purposes of verifying your request will only be used for verification and to respond to your personal information request. If you maintain an account with us, we may require you to log in to that account as part of submitting your request.

If we are unable to verify your identity as part of your request, we will not be able to satisfy your request. In some instances, such as a request to delete personal information, we may first separately confirm that you would like for us to in fact delete your personal information before acting on your request.

### **Changes to this Policy**

We reserve the right to change this Privacy Policy at any time in our sole discretion. If we make changes, we will post the revised Policy here so that you can see what information we gather, how we might use that information, and in what circumstances we may disclose it. By continuing to use our services after notice is provided, you accept and agree to this Privacy Policy as modified.

### **Contact Information**

If you have any questions or comments about this notice, the ways in which we collect and use your information described here, your choices and rights regarding such use, or wish to exercise your rights under state privacy laws, please do not hesitate to contact us by:

- Calling us at 800.328.5600
- Emailing us via [privacy@allianzlife.com](mailto:privacy@allianzlife.com)
- Contacting us through the secured website [www.allianzlife.com](http://www.allianzlife.com)
- Writing to us at:

Allianz Life Insurance Company of North America  
Attn: Privacy Office  
PO Box 1344  
Minneapolis, MN 55440-1344