

LIFE ADVANCED MARKETS

Estate planning client information

Use this form to assist your client in gathering all the necessary information to begin the estate planning process, and to be certain your client has thoroughly considered all the necessary details.

To help ensure the client's financial goals and needs are being met, discussions should include all members of their team of professionals – i.e., tax advisor, attorney, financial professional.

Remember that any strategy that includes life insurance is contingent on the health underwriting of the insured and financial underwriting. In addition, an estate planning strategy requires legal agreements drafted by an attorney.

ALL CLIENT INFORMATION WILL BE KEPT CONFIDENTIAL
Date:
Your name:

Address:

County:

Date of birth:

U.S. citizen? ☐ Yes ☐ No

Health status:

Employer:

Home telephone:

Work telephone:

Fax no:

Email address:

Spouse's name:

Date of birth:

U.S. citizen? ☐ Yes ☐ No

Health status:

Have you and your spouse signed a premarital agreement? ☐ Yes ☐ No (If yes, provide a copy)

Have you or your spouse been divorced? ☐ Yes ☐ No (If yes, provide a copy of divorce decree)

Do you now have a will or revocable trust? ☐ Yes ☐ No (If yes, provide a copy)

Do you have a safe deposit box? ☐ Yes ☐ No If yes, where/who has access to your box?

Do you anticipate moving to another state in the near future? ☐ Yes ☐ No

Do you own real estate in another state, or will you in the near future? ☐ Yes ☐ No

CHILDREN

Please list ALL your children, including deceased children, children born out of wedlock, and children you wish to omit from your estate plan.

Name of child	Date of birth	Address	Spouse/children

Identify any child who is not a natural or adopted child of both you and your spouse.

Have any children received an advance on their inheritance, or are any children financially indebted to you?
If yes, please explain.

Is there any reason NOT to treat your children equally? If yes, please explain.

Are any of the children under a disability?

Do you have any special concerns or objectives regarding your children?

GUARDIANS

Who should be guardian of your minor children? (A guardian has physical and legal control over your children until they reach the age of 18.)

1st choice:	2nd choice:
Relationship to you:	Relationship to you:
Address:	Address:

PERSONAL REPRESENTATIVE

Who should be Personal Representative (“executor”) of your estate? A Personal Representative is responsible for probating your will, paying your debts, collecting your assets, and settling your estate.

1st choice:	2nd choice:
Relationship to you:	Relationship to you:
Address:	Address:

PROPERTY DISPOSITION

Please describe how you and your spouse wish your property to be transferred at your deaths. (Include the full name and address of anyone other than your spouse and children):

- ☐ Entire estate outright to surviving spouse, if living; otherwise, to children (with any descendants of a deceased child taking that child’s share).
- ☐ Other (specific gifts, trusts for minor children, special provisions, etc.)

FINANCIAL INVENTORY

Please estimate the market value of your assets.

Assets	You	Your spouse	Joint
Home			
Other real estate			
Checking account			
Savings/other accounts			
Personal property			
Furnishings			
Jewelry and personal			
Other			
Stocks/bonds/mutual funds			
Closely held business			
Life insurance (face value)			
Retirement accounts			
Pension/profit sharing			
IRA/401(k)			
Other			
Total assets			

Liabilities	You	Your spouse	Joint
Home mortgage			
Loans			
Credit cards			
Other			
Total liabilities			

LIFE INSURANCE

Policy name/number	Face value	Owner	Insured	Beneficiary

RETIREMENT PLANS

Please list your retirement plans/IRAs, their value, and the beneficiary.

Plan description	Current amount	Death benefit	Beneficiary

FUTURE INHERITANCES

Do you expect any inheritance in the near future? If so, please give details:

Accountant or tax preparer:

Address:

Telephone:

Email address:

Attorney:

Address:

Telephone:

Email address:

Primary physician:

Address:

Telephone:

Email address:

TRUSTS

If a trust is appropriate to include in your estate plan, who should be the trustee? A trustee is the person or entity who is responsible for managing the assets placed into the trust. A trustee manages the assets for your children or other beneficiaries until they reach specified ages. If you do not establish a trust, children inherit at age 18. You may name an individual, bank or trust company, or both to act as your trustee. You are encouraged to contact an attorney to discuss your situation.

1 st choice:	2 nd choice:
Relationship to you:	Relationship to you:
Address:	Address:

Special requests: Special requests regarding funeral, cremation, or burial instructions are best handled by a Letter of Instruction or other statement (separate from your will) to your family or other responsible person.

Specific gifts: Do you wish to make any specific bequests to charities or individuals?

Living Will and Health Care Power of Attorney: Are you interested in preparing a Health Care Declaration (Living Will) appointing someone to make health care decisions for you and/or stating your preferences for health care?

Power of Attorney: Are you interested in preparing a Power of Attorney, granting another person the power to act on your behalf to manage your assets and pay your bills if you become incompetent or unable to sign your name? (You are encouraged to contact your attorney to discuss your specific situation.)